

HOUSTON DIVISION

USAO Number: 2008R16977

Magistrate Number:

CRIMINAL INFORMATION

UNITED STATES of AMERICA

VS.

JAMES M. DAVIS

CRIMINAL DOCKET

Sealed
United States District Court
Southern District of Texas

JUN 18 2009

Sealed

Public and unofficial staff access
to this instrument are
prohibited by court order.

H-09-335

Filed Michael N. Wilby, Clerk of Court

Judge: H. Hines

ATTORNEY UN-SEALED PER order

TIM JOHNSON, USA

(713) 567-9000

Gregg Costa, AUSA

(713) 567-9345

Appt'd	Private
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Count 1: Conspiracy to Commit Mail, Wire and Securities Fraud [18 USC § 371]

CHARGE: Count 2: Mail Fraud [18 USC §§1341 and 2]

(TOTAL)
(COUNTS:) Count 3: Conspiracy to Obstruct SEC Investigation [18 USC § 371]

(3)

PENALTY: Count 1: Up to five years imprisonment and/or a fine of up to \$250,000, three years s/r, \$100 s/a
Count 2: Up to twenty years imprisonment and/or a fine of up to \$250,000, three years s/r, \$100 s/a
Count 3: Up to five years imprisonment and/or a fine of up to \$250,000, three years s/r, \$100 s/a

- ☐ In Jail
☐ On Bond
☐ No Arrest

NAME & ADDRESS
of Surety:

PROCEEDINGS: